

October 1, 2011

TO: PUBLIC TREASURERS

SUBJECT: CERTIFIED DEALERS Page 1 of 3

Following is the list of CERTIFIED DEALERS for the quarter beginning October 1, 2011 through December 31, 2011. THIS LIST SUPERSEDES THE LIST DATED July 1, 2011.

Transactions involving authorized deposits or investments of public funds may be conducted only through ISSUERS of securities authorized by Section 51-7-11(3), QUALIFIED DEPOSITORIES (refer to current list), Certified Investment Advisers (See List), or CERTIFIED AGENTS OF DEALERS included in this list. Authorized deposits or investments are generally limited to those securities listed in Section 51-7-11(3). All securities purchased from a certified dealer are required to be delivered to the public treasurer or to the treasurer's safekeeping bank.

The following are the changes from the list dated July 1, 2011.
Deletions: None. **Additions:** Raymond James added H.T. Simonton; UBS Financial has added Mathew Hufford, Don Bunnell, Barry Bowen, Tracy Duckworth, & Christopher Soutas. **Name changes, mergers, etc:** None.
Please check your broker to make sure they are still on the list.

Broker-dealers included in this list have met the minimum standards established by Utah Administrative Code, Section R628-16. **Inclusion in the list of certified dealers does not constitute an endorsement or recommendation by the Money Management Council or by the Securities Division of the Department of Commerce.** Compliance with the Money Management Act and Rules of the Council continues to be the responsibility of each public treasurer.

BROKER

Barclays Capital Inc.

Cortview Capital Securities LLC

George K. Baum & Co.

Jeffries & Co.

AGENTS

Peter Bjurstein
Granger Tripp

William H. Money III

Susan P. Gardner
Frederic Jones
Stephen L. Strong
Brett C. Turnbull

Andrew Davidoff

UTAH MONEY MANAGEMENT COUNCIL
Certified Dealers- October 1, 2011
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JP Morgan Securities, LLC

Dina W. Collins

Merrill Lynch, Pierce, Fenner & Smith

Leonard Armstrong
Nathan Armstrong
Brett R. Bills
R. Scott Bills
Robert K. Bills
Glen A. Carter
Teresa L. Friess
Carl L. Laurella
Donald A. Penney
Steven Prickett
Jeffrey J. Roundy

Morgan Stanley Smith Barney

Cody Adams
Craig P. Adams
S. Craig Adams
Paul Barton
Stephen H Beierlein
Deidre M. Cortney
Douglas Felt
Phil Fonfara
John Hallgren
Thomas Lifson
Debra Lyman
Thomas E. Nielson
John R. Ott
Brian Parcell
Angela L. Pizzichini
F. Barton Reuling
Robert H. Rose
Ken Webster
Fred S. Wheeler
Betsy Whipple

Raymond James & Associates, Inc.

Ken Cramer
Mark McKinnon
*H.T. Simonton

RBC Capital Markets Corp.

Todd N. Adams
Eric Ajlouny
Eddie Barber Jr.
Scott Chappell
Robert S. Cheney
Sterling Hanson
Tracy L. Maltby
Craig Martucci
Ronald N. Mason
Bradley T. Miller

Sterne, Agee & Leach, Inc.
Sterne, Agee & Leach, Inc. (Cont'd)

UBS Financial Services Inc.

Wells Fargo Advisors LLC

Wells Fargo Securities, LLC.

*New as of this list.

Brent Peterson
Partrick Porter
Russell Tobler
Sam Vetas

Traci L. Salinas
Nicolas Yip

*Don Bunnell
*Barry B. Bowen
*Tracy Duckworth
John Doke
*Mathew J. Hufford
George H. Kane Jr.
Jim Madden
Nat Mosley
*Christopher Soutas

R. Dane Bradshaw
Josh G. Burgon
Daniel Payne
David Payne
Timothy Payne

Benjamin Byington
Randy Church
Jana Creamer
Thomas Degenaars
Brandon Hodge
Larry Lundberg
Ryan Stoker